Docket No. 294551US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masahide MATSUURA, et al.

SERIAL NO:

New US PCT Application based on PCT/JP05/01799 GAU:

FILED: Herewith EXAMINER:

FOR: ORGANIC ELECTROLUMINESCENT DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS

ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR \$1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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Surinder Sachar Registration No. 34,423 Receipt date: 08/07/2006

/Jay Yang/

Examiner

149/588 549 14911 Rec'd PCT/PTO 0058458:2006AU: 1794

SHEET OF 1 1 ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE New US PCT Application 294551US0PCT based on PCT/JP05/01799 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Masahide MATSUURA, et al. FILING DATE GROUP Herewith U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT SUB FILING DATE DATE NAME CLASS INITIAL NUMBER CLASS IF APPROPRIATE AΑ 6 097 147 08/01/00 BALDO, Marc A. et al. ΔR AC AD ΑE AE AG AH ΑI AJ AK AL FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES NO JP (with English abstract and equivalent of US AM 2002 343572 11/29/02 NO 2003 0034722) AN 2003 317966 JP (with English abstract and equivalent of US 11/07/03 NO 2003 0205696) JP (with English abstract and equivalent of US ΔO 2002 158091 05/31/02 NO 2002 0096995) AP 2004 22434 01/22/04 JP (with English abstract) NO JP (with English abstract and equivalent of US AQ 2004 6287 01/08/04 NO 2003 0198831) JP (with English abstract and equivalent of US AR 2002 100476 04/05/02 2002 0028329) NO AS 63 295695 12/02/88 JP NO ΔΤ 2002 203683 07/19/02 JP (with English abstract) NO AU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) TSUTSUI, Tetsuo et al.," High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Complex as a Triplet Emissive Center*, Japanese Journal of Applied Physics, Vol. 38, Part.2, No. 12B, pgs. L 1502-L 1504, 1999. A7

Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Additional References sheet(s) attached

10/15/2009

Date Considered

10/588549 - GAU: 1794

IAP11 Rec'd PCT/PTO 07 AUG 2006

New U.S. PCT Application Based on PCT/JP05/01799

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Receipt date: 08/07/2006

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STATEMENT OF RELEVANCY

- References AM-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- References have been cited in the corresponding copy of these references is being submitted herewith.
- References AA, AS-AT and AW are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.